

NOTE:

The Catholic University of Eastern Africa

TITLE	AUTHOR
PROCEDURE FOR GRIEVANCE HANDLING	HR MANAGER
(CUEA/DVC ADM/HRM/07)	NO. OF APPENDICES:
	NONE
AUTHORIZATION This Standard Operating Procedure is issued under	the authority of:
TITLE	DVC ADMINISTRATION
SIGNATURE	St
DATE	23 February 2011
ISSUE DATE	23 March 2011
STAMP CONTROLLED / UNCONTROLLED	CONTROLLED

1. Write amendments on the page provided (Clause 0.2)

Revision 00 Date 18 – Jan 2011

2. Controlled copies of this document will be in the HR and the DVC ADM Office

THE CANIGUE UNIVERSITY OF EASTERN ARRICAL	Standard Operating Procedure	(CUEA/DVC ADM/HRM/07)
Title	Procedure for Grievance Handling	Page 2 of 4

CONTENTS AND RECORD OF CHANGES

0.1 Table of Contents

0.	CONTENTS AND RECORD OF CHANGES	2
1.	PUPROSE	2
2.	SCOPE	. 2
3.	TERMS AND DEFINITIONS	2
4.	REFERENCES	3
5.	PRINCIPAL RESPONSIBILITIES	3
6.	METHOD	3
7.	APPENDICES	. 4

0.2 RECORD OF CHANGES

No.	Date	Details of Changes		Authorization
	(dd-mm-yy)	Page	Clause/subclause	Title
1	21 June 2011	3	6.1 Inclusion of word "the HR Manager shall ensure that" and timelines	HR Manager

0.3 Distribution / Circulation

This Standard Operating Procedure is available at relevant functions for authorized users.

1. PURPOSE

To ensure that grievances/complaints are treated seriously and investigated expeditiously.

2. SCOPE

This procedure covers all employees in CUEA.

3. TERMS AND DEFINITIONS

3.1 Definitions of Terms Used:

For the purpose of this procedure the following terms shall apply in addition to those already defined in the CUEA Quality Management Manual

Revision	00	Date	18 – Jan 2011

THE CANIGUE UNIVERSITY OF EASTERN ARRICAL	Standard Operating Procedure	(CUEA/DVC ADM/HRM/07)
Title	Procedure for Grievance Handling	Page 3 of 4

3.1.1 Grievance:

For the purpose of this procedure 'grievance' refers to a complaint made by an employee about perceived unfairness.

3.1.2 Handling:

In this context, 'handling' refers to the way of dealing with a grievance. Grievance handling therefore refers to the way of dealing with complaints made by employees.

3.1.3 Complainant:

Also referred to as plaintiff, is a person who files a charge or makes a complaint.

3.1.4 Concerned Party:

This refers to the employee who is in conflict with the complainant. The employee can be an employee's colleague, functional HOD, Dean/equivalent, or HR Manager.

3.2 Abbreviations and Acronyms

3.2.1 HR - Human Resources

4. REFERENCES

This procedure makes reference to the following documents which form part of the QMS documentation:

- 4.1 HR Policies and Procedures Manual
- 4.2 ISO 9001:2008, Quality Management systems -Requirements clause 8.5.2 & 8.5.3

5. PRINCIPAL RESPONSIBILITIES

5.1 The HR Manager shall ensure that the procedure is being followed and adhered to.

6. METHOD

- 6.1 The HR Manager shall ensure that the complainant exhausts all informal avenues of grievance resolution with the concerned party within his/her reasonable timeframe
- 6.1.1 If the complaint is resolved, the complainant shall inform all the parties involved in the grievance in writing within three days
- 6.1.2 If it is not resolved, the Complainant shall write a note of grievance to his/her supervisor/HOD/Dean (if it does not involve him/her) or write directly to the HR Manager if the dispute involves the Supervisor/HoD/Dean; or to the relevant DVC if it involves the HR Manager

Revision	00	Date	18 – Jan 2011

THE CATHOLO UNIVERSITY OF EASTERN AFRICA	Standard Operating Procedure	(CUEA/DVC ADM/HRM/07)
Title	Procedure for Grievance Handling	Page 4 of 4

- 6.2 The supervisor/HoD/Dean upon receiving the grievance shall verify and resolve it within 5 working days
- 6.2.1 If the supervisor/HoD/Dean resolves the grievance, he/she shall comment and inform the Complainant within a day
- 6.2.2 If the grievance is not resolved, he/she shall forward it to the HR Manager within 2 working days
- 6.3 The HR Manager shall, upon receiving the grievance, verify it
- 6.3.1 If the HR Manager resolves it, he/she shall comment and inform the Complainant within 2 working days
- 6.3.2 If the grievance is not resolved by the HR Manager, he/she shall forward it to the relevant DVC within 2 working days
- 6.4 The relevant DVC shall, upon receiving the grievance, verify it
- 6.4.1 If the grievance is resolved, the relevant DVC shall comment and inform the Complainant within a day
- 6.4.2 If the grievance is not resolved, the relevant DVC shall forward it to the VC within 2 working days
- 6.5 The VC shall, upon receiving the grievance, verify it
- 6.5.1 After a thorough investigation, the VC shall give a resolution and inform the Complainant in writing within 5 working days. It should be noted that the VC's resolution is final
- 6.5.2 However, if the Complainant is not satisfied with the resolution he or she may appeal to the University Council through the VC within a week.

7. APPENDICES

None

Revision	00	Date	18 – Jan 2011